

Nonlinear Fibre Optics

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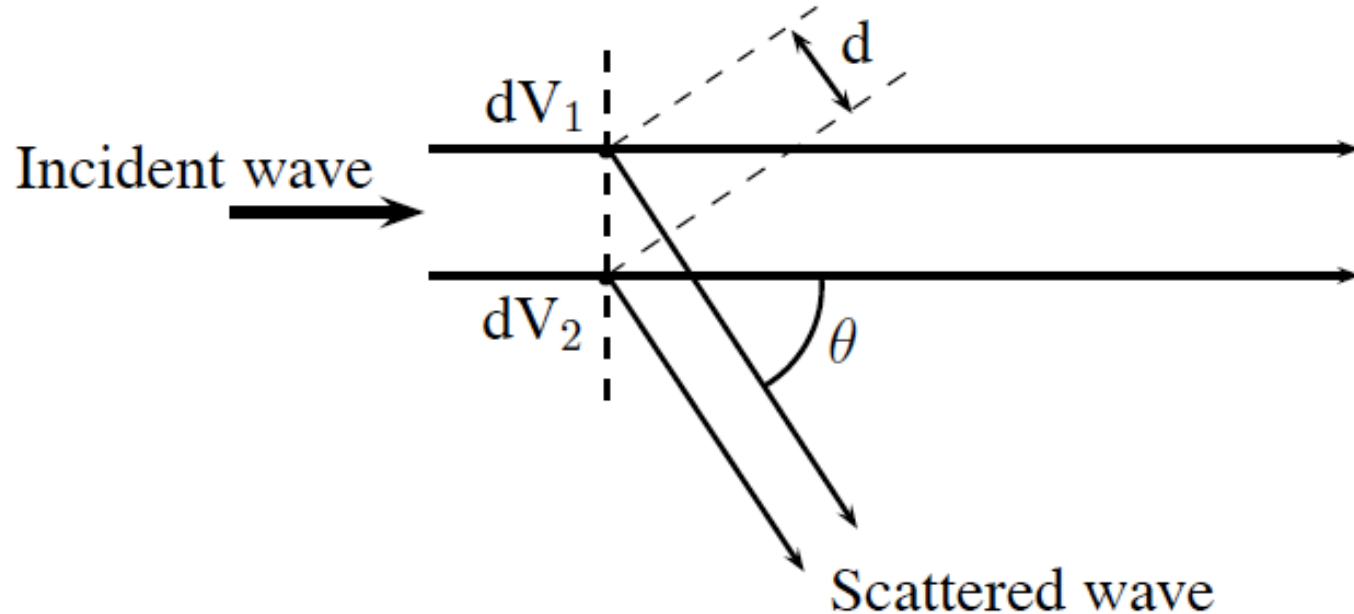
Institute of Electrical Engineering

Doctoral School in Photonics



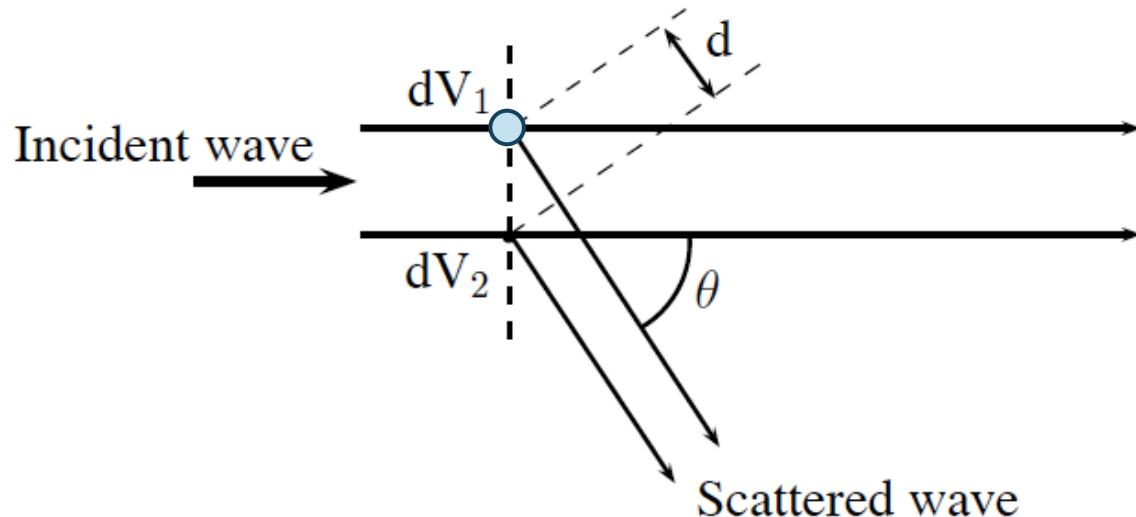
In dense homogeneous ordered media (e.g. crystal), light scatters only in the forward direction

- λ is much larger than the spacing between scattering centres.
- There always exists an elementary volume dV_2 from which the scattered field will **destructively interfere** with that from dV_1 .
- This is true for all angles θ except in the forward direction.

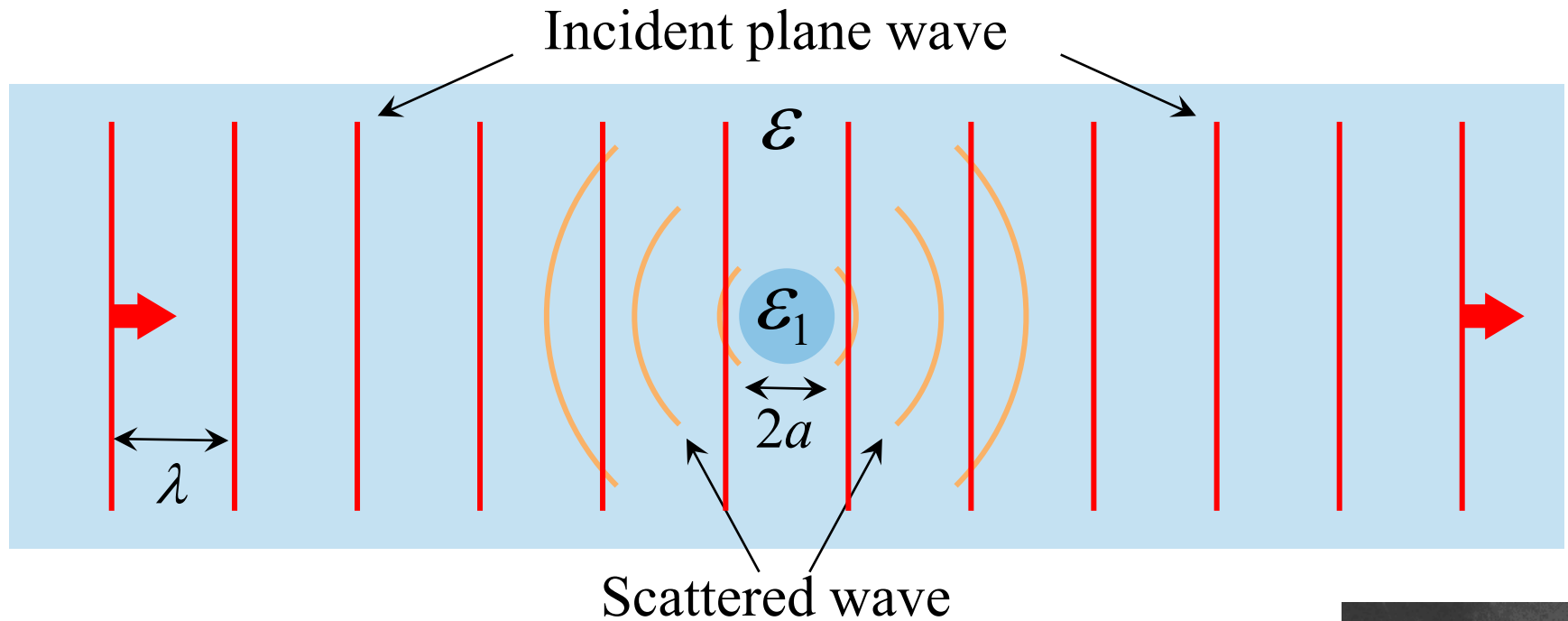


In dense non-homogeneous (disordered) media, light also scatters laterally

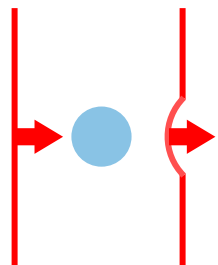
- **Density fluctuation** $\Rightarrow$ the number of scattering centres in volume dV_1 could be different to that of volume from $dV_2 \Rightarrow$ **the scattered wave in direction θ does not completely vanish.**
- If the local perturbations (local modifications of the optical properties) are separated by distances in the range of λ or more and if they are randomly distributed, the **case is similar to that of the gas.**
- **Any imperfection** that locally modifies the optical properties of the medium (density fluctuation, flaws, impurities) **results in the presence of lateral scattering.**
- **Case of glasses!**



Origin of scattering



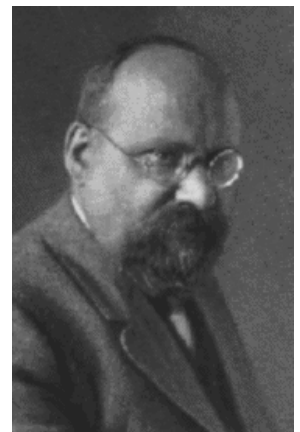
First case: $a \geq \lambda$ **Mie scattering**



Diffraction

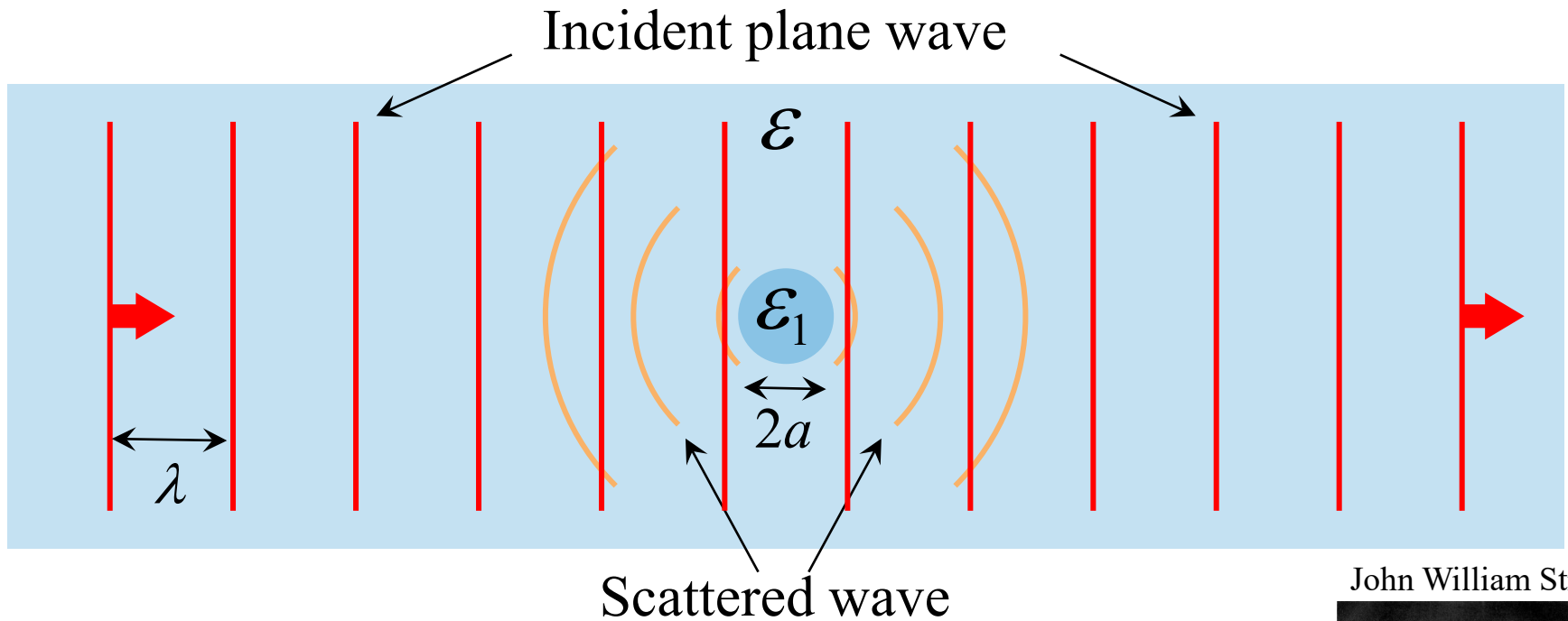
Dominantly forward scattering
Moderate spectral dependence

$\sim \lambda$



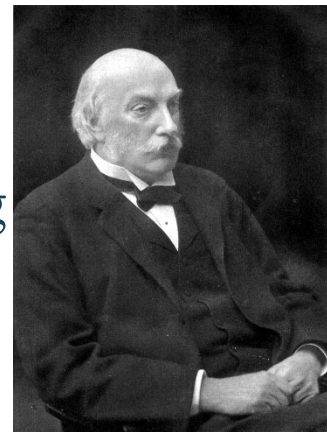
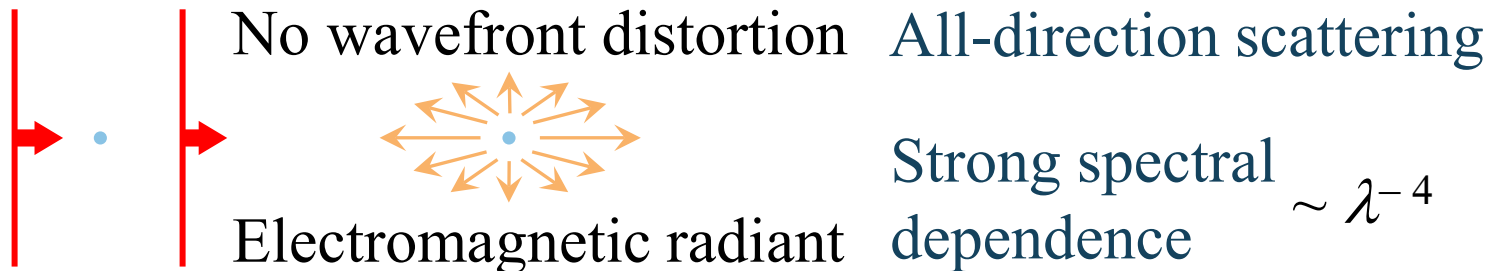
Gustav Mie (1868-1957)

Origin of scattering



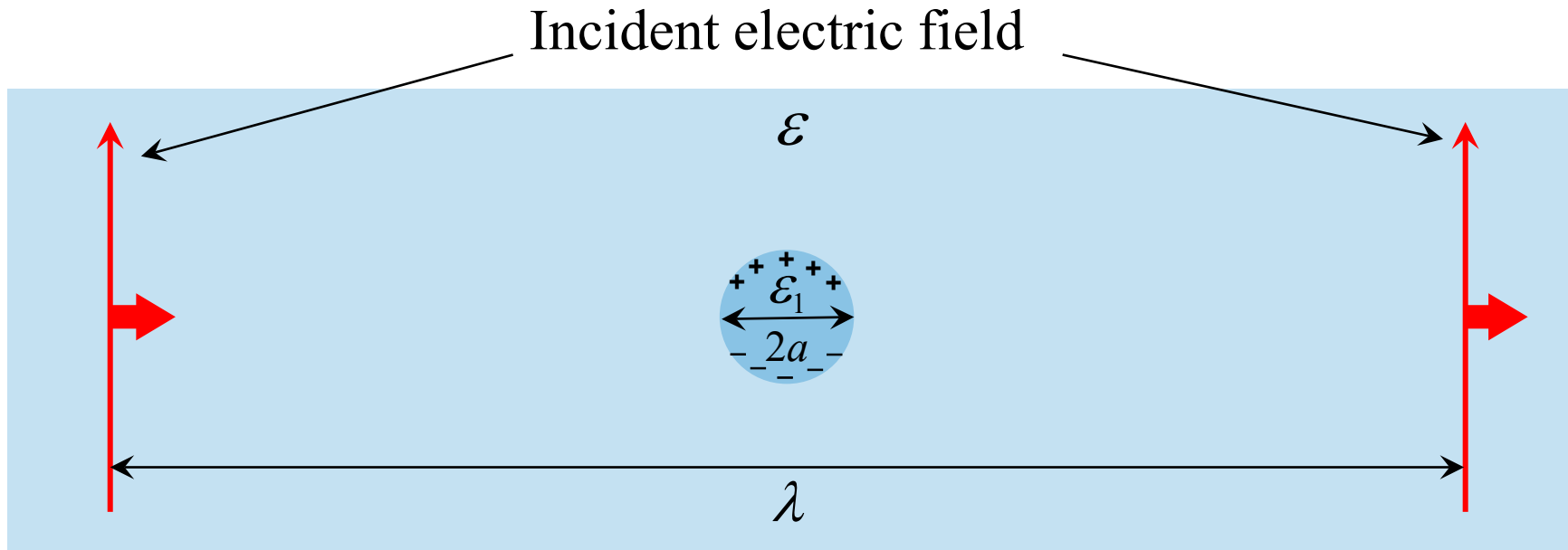
John William Strutt

Second case: $a \ll \lambda$ **Rayleigh scattering**



Baron Rayleigh (1842-1919)

Basic mechanism of Rayleigh scattering

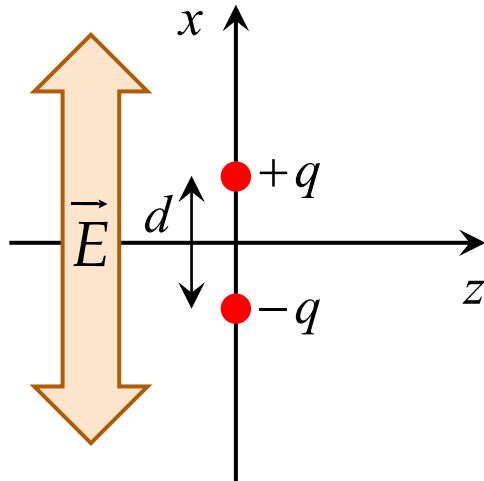


- Since $a \ll \lambda$ the particle sees a uniform oscillating E-field
- The E-field induces **surface charges** at the dielectric boundaries of the particle
- Assuming $\varepsilon_1 \cong \varepsilon$ (small medium fluctuation) the surface charges induce a **dipole moment**

$$\vec{p} = 4\pi\varepsilon a^3 \frac{\varepsilon_1 - \varepsilon}{3\varepsilon} \vec{E}$$

Radiation from an oscillating dipole

Hertzian dipole (Rayleigh)



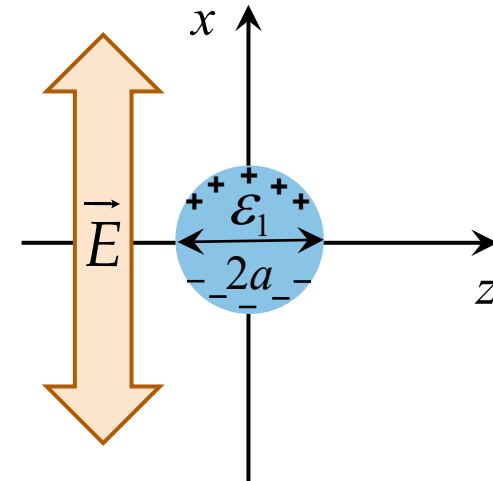
Dipole moment: $\vec{p} = q d \hat{x}$

Radiated E-far field:

$$\vec{E}_{far-field} = \frac{j k i d}{4 \pi \epsilon c r} \sin \theta e^{-j k r} \hat{\theta}$$

Current: $i = j \omega q \Rightarrow i d = j \omega |\vec{p}|$

Particle dipole (Einstein-Smoluchowski)

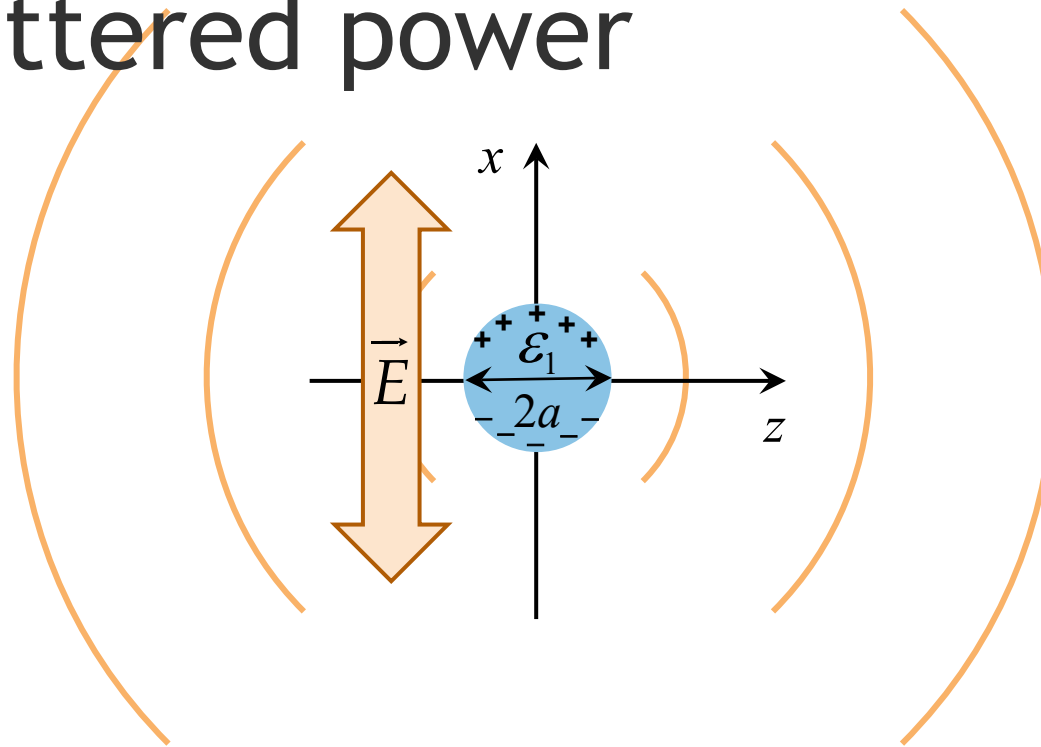


Dipole moment: $\vec{p} = 4 \pi \epsilon a^3 \frac{\epsilon_1 - \epsilon}{3 \epsilon} \vec{E}$

Radiated E-far field:

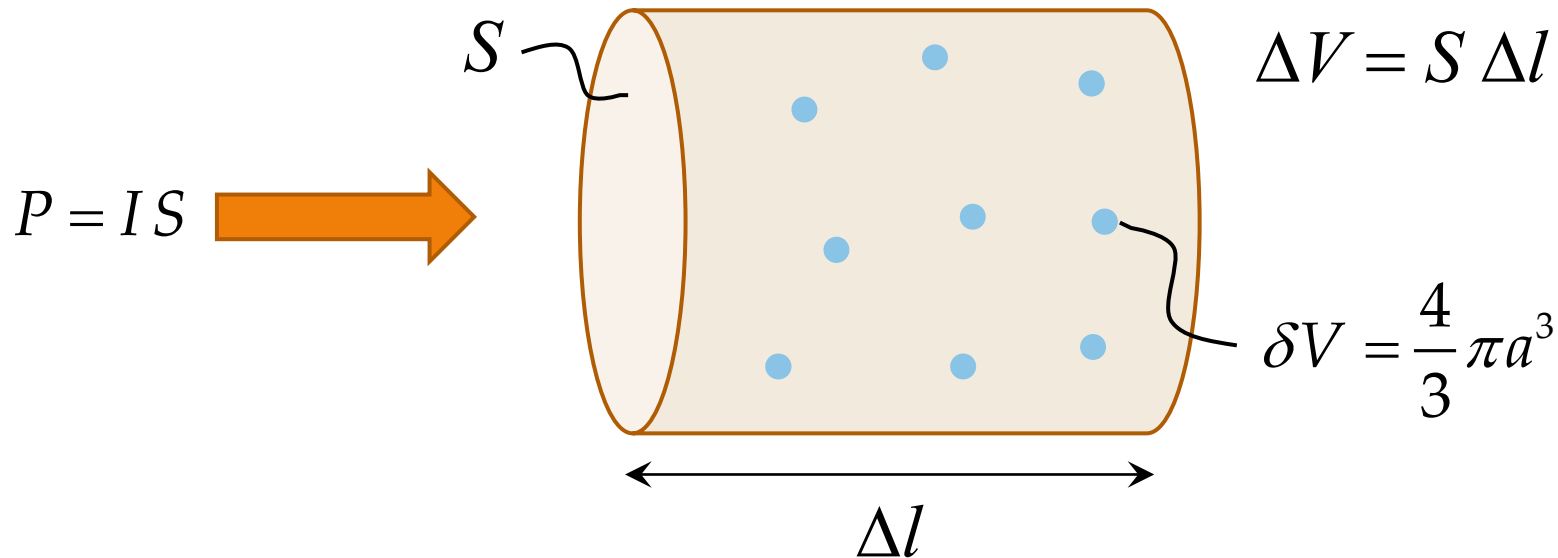
$$\vec{E}_{far-field} = -\frac{k^2 a^3}{r} \frac{\epsilon_1 - \epsilon}{3 \epsilon} |\vec{E}| \sin \theta e^{-j k r} \hat{\theta}$$

Total scattered power



$$\begin{aligned}
 P_s &= \int_0^{2\pi} \int_0^\pi \epsilon c \frac{|\vec{E}_{far-field}|^2}{2} r^2 \sin \theta d\theta d\phi \\
 &= \frac{4\pi\epsilon c}{3} k^4 a^6 \left(\frac{\epsilon_1 - \epsilon}{3\epsilon} \right)^2 |\vec{E}|^2 = \frac{8\pi}{3} k^4 a^6 \left(\frac{\epsilon_1 - \epsilon}{3\epsilon} \right)^2 I \quad \text{with} \quad I = \frac{\epsilon c}{2} |\vec{E}|^2
 \end{aligned}$$

Scattering loss coefficient α_s



Let assume the scattering particles densely packed (no gap between particles)

➔ Particles density (number per unit volume) $N = 1 / \delta V$

Power loss in the volume ΔV : $\Delta P = -\alpha_s P \Delta l$ ➔ $-N P_s \Delta V = -\alpha_s P \Delta l$
 $-N P_s S \Delta l = -\alpha_s P \Delta l$

➔
$$\alpha_s = N S \frac{P_s}{P} = \frac{1}{\delta V} \frac{P_s}{I} = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} \left\langle (\Delta \varepsilon)^2 \right\rangle \delta V$$
 with $\Delta \varepsilon = \varepsilon_1 - \varepsilon$

Scattering loss coefficient α_s

$$\alpha_s = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} \left\langle (\Delta\epsilon)^2 \right\rangle \delta V$$

$\Delta\epsilon$ is function of the material density ρ and the temperature T :

$$\Delta\epsilon = \left(\frac{\partial\epsilon}{\partial\rho} \right)_T \Delta\rho + \left(\frac{\partial\epsilon}{\partial T} \right)_\rho \Delta T \quad \text{Normally for solid state materials: } \left(\frac{\partial\epsilon}{\partial\rho} \right)_T \gg \left(\frac{\partial\epsilon}{\partial T} \right)_\rho$$

$$\rightarrow \left\langle (\Delta\epsilon)^2 \right\rangle \cong \left(\frac{\partial\epsilon}{\partial\rho} \right)_T^2 \left\langle (\Delta\rho)^2 \right\rangle$$

From the theory of thermodynamic fluctuations: $\left\langle (\Delta\rho)^2 \right\rangle = \frac{\rho^2}{\delta V} k_B T_f \beta_c$

T_f is a fictitious temperature representing the temperature when all density fluctuations get frozen ($\sim$ solidification).

β_c is the isothermal compressibility: $\beta_c = -\frac{1}{\delta V} \left(\frac{\partial\delta V}{\partial P} \right)_T = 6.9 \cdot 10^{-11} \frac{\text{m}^2}{\text{J}}$ in SiO_2

Scattering loss coefficient α_s

$$\alpha_s = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} \left(\rho \frac{\partial \varepsilon}{\partial \rho} \right)_T^2 k_B T_f \beta_c$$

Since silica is a isotropic solid state material, the following term can be made explicit using the theory of photoelasticity:

$$\left(\rho \frac{\partial \varepsilon}{\partial \rho} \right)_T = n^4 p_{12} \quad n: \text{the refractive index}; \quad p_{12} = 0.286 \quad \text{photoelastic coefficient}$$

It is also possible to use the Clausius-Mossoti relation.

→ **Rayleigh scattering loss coefficient:**

$$\alpha_s = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} n^8 p_{12}^2 k_B T_f \beta_c$$

It is better to choose for a material with low refractive index and compressibility.

2 main contributions for Rayleigh scattering in optical fibres

Silica glass is characterized by **nanometric fluctuations** of $\varepsilon \Rightarrow$ Rayleigh scattering.



Local density fluctuation
frozen in the glass during
cooling

$$\alpha_{Rd} = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} n^8 p_{12}^2 k_B T_f \beta_c$$

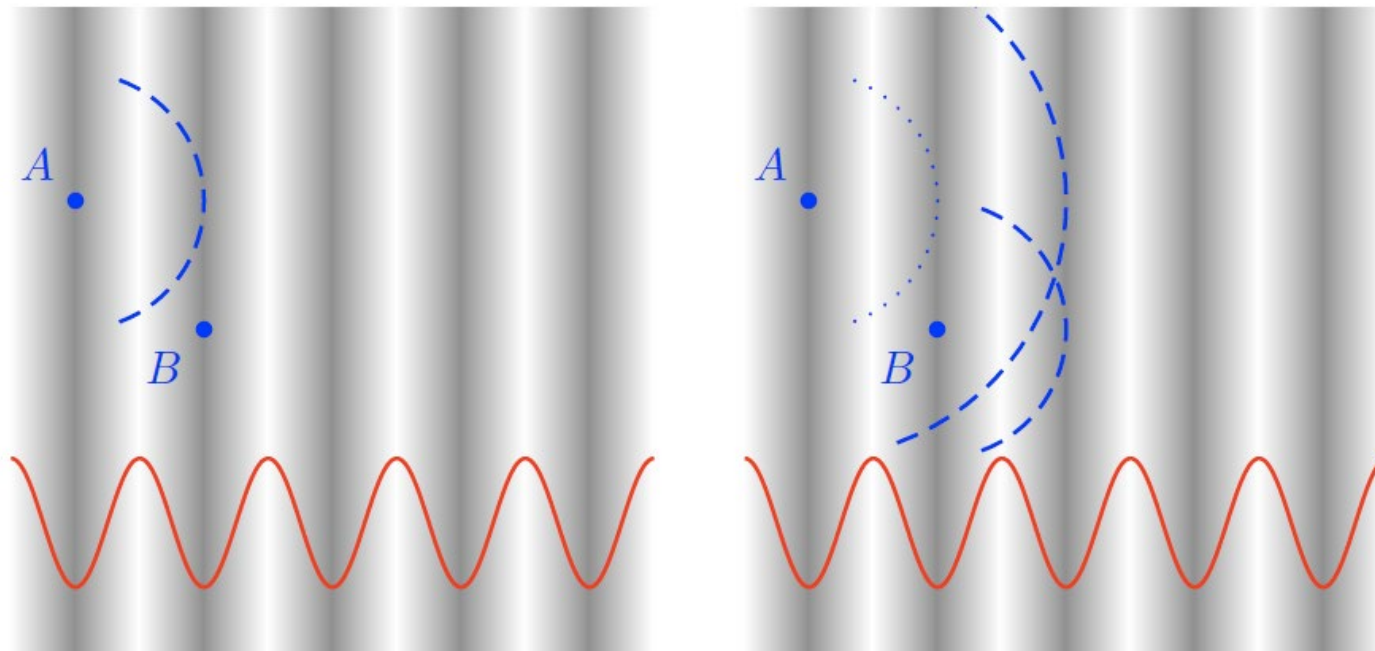
The critical parameter is
the fictitious temperature

**Local fluctuations of
composition (doping)**

$$\alpha_{Rc} = \frac{8}{3} \frac{\pi^3}{\lambda_o^4} \left(\frac{\partial n^2}{\partial N} \right)^2 \langle (\delta N)^2 \rangle$$

N is the number of doping
molecules per unit of
volume

In the forward direction, all the scattered wavelets sum up constructively



- The incident light is assumed to be **locally a plane wave**. A and B are two random scattering centres.
- **The wave scattered by B is necessarily in phase with the wave priorly scattered by A.** Extrapolated to a large number of centres, all scattered waves show a **constant phase relationship** with the incident wave. The phase lag between forward scattered waves and incident wave is an interpretation of the wave propagation slowing (**refractive index**).

There are two main components to scalar scattering

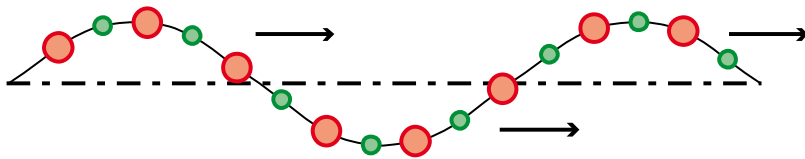
$$\Delta\rho = \left(\frac{\partial\rho}{\partial p}\right) \Delta p + \left(\frac{\partial\rho}{\partial s}\right) \Delta s$$

- Adiabatic density fluctuations
- **Pressure fluctuations mainly results from acoustical waves propagation**
➔ **described by a wave equation**
- The scattered wave is frequency-shifted
- Inelastic scattering
- **Brillouin scattering**

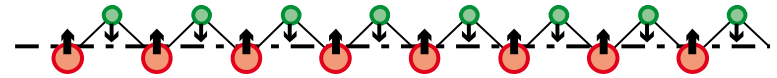
- Isobaric density fluctuations
- **Entropy fluctuations are described by a diffusion equation**
- The scattered wave is not frequency-shifted
- Elastic scattering
- **Rayleigh scattering**

Physics behind inelastic scatterings

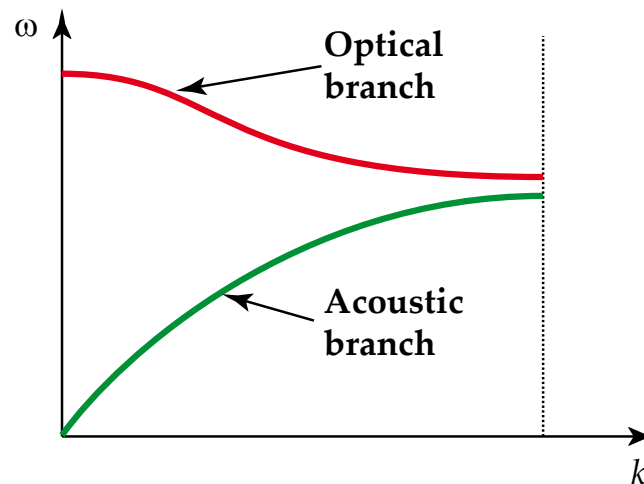
In a solid state constituted of *polyatomic* molecules, the cohesive force between molecules allows a collective vibration into two distinct vibrational modes:



- *Oscillatory* movement of the entire molecular chain.
- *Classical* wave, *slow* vibration transporting *high momentum*.
- *Acoustic*-like vibration.



- *Vibrational* oscillation inside the molecular chain.
- *Quantum* excited state, *fast* vibration with *small momentum*.
- *Optical*-like vibration.



Energy-momentum diagram
(dispersion curve)

Optical effect of inelastic scatterings

Optical branch: Raman scattering

High energy phonons
with **low momentum**

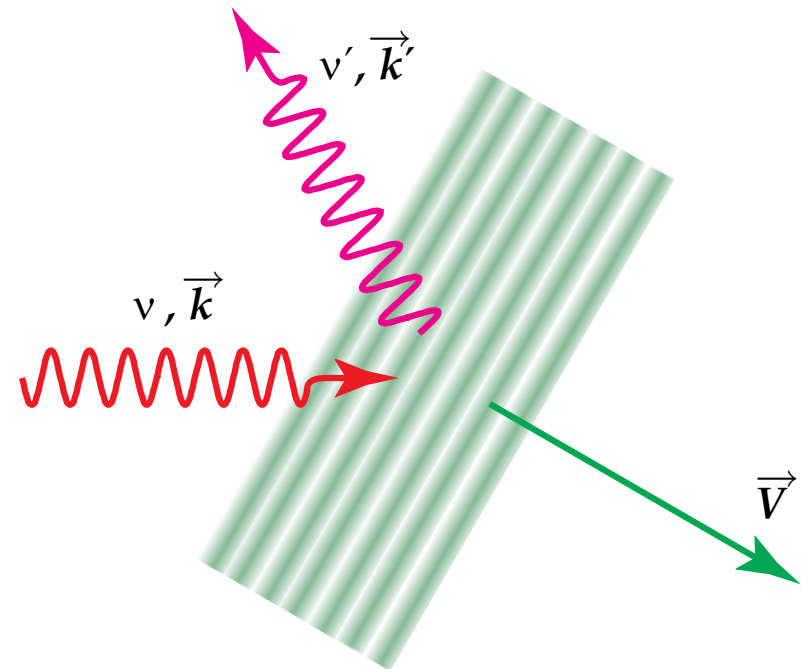
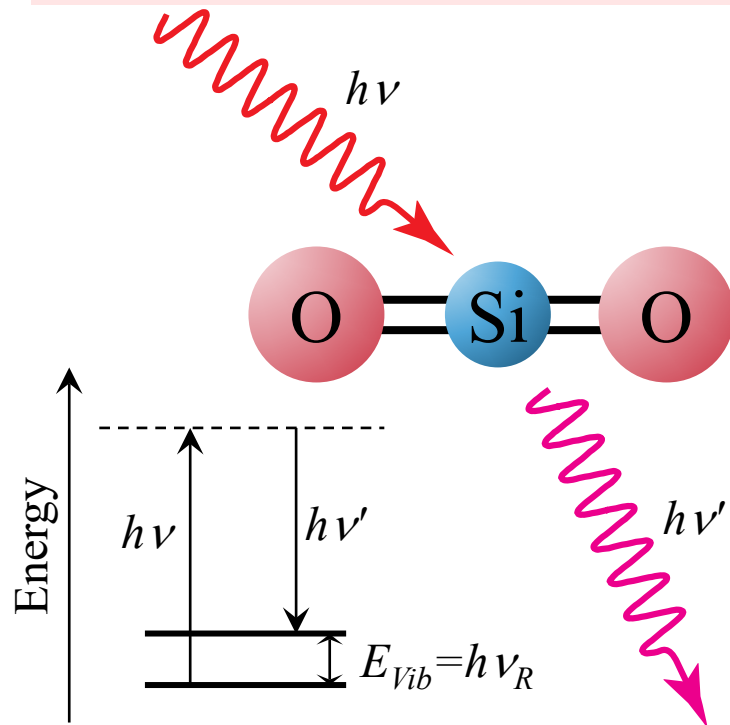
Large spectral shift (~ 12 THz or
96 nm at $\lambda_0=1550$ nm in SiO_2) and
non-strict phase matching.

Acoustic branch: Brillouin scattering

Brillouin scattering

Low energy phonons
with **high momentum**

Small spectral shift (~ 11 GHz or
0.07 nm at $\lambda_0=1550$ nm in SiO_2) and
strict phase matching.



Optical effect of inelastic scatterings

Optical branch: Raman scattering

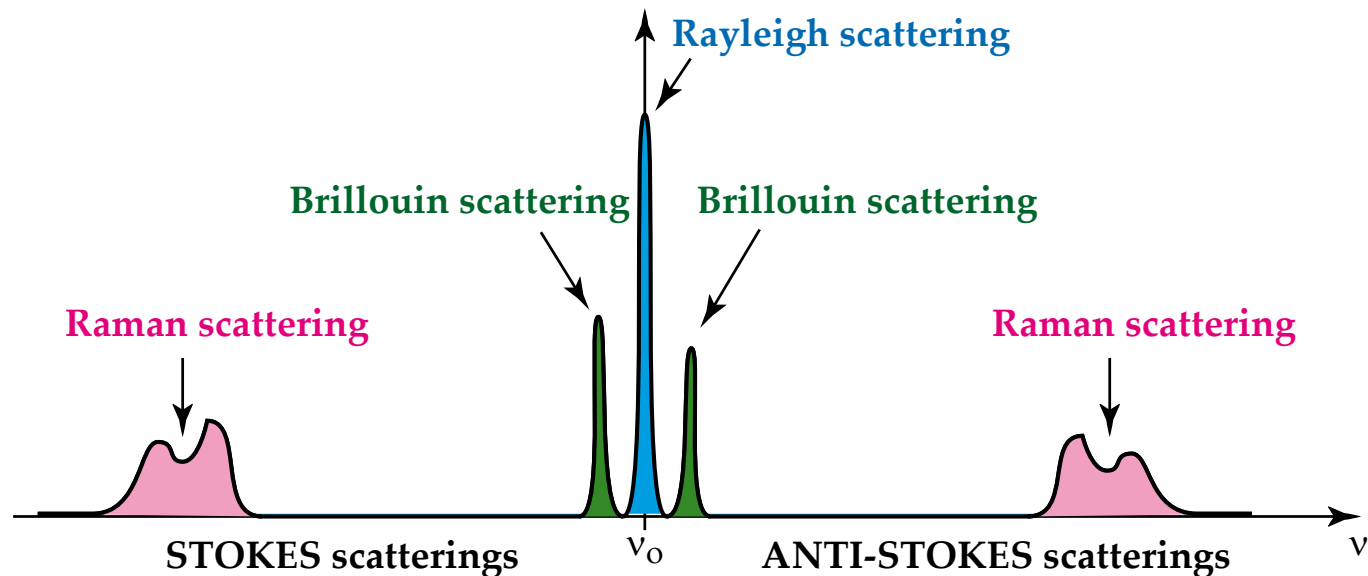
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Spontaneous inelastic scatterings

Spontaneous inelastic scatterings are **purely thermally activated** and are thus **linear** processes.

Spontaneous inelastic scatterings are generated by **thermal phonons**

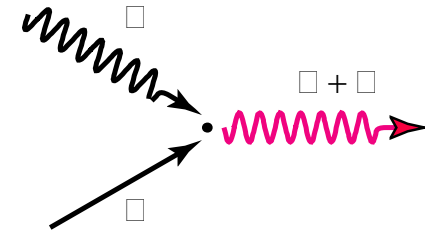
→ The average number of phonons is governed by **Bose-Einstein statistics**

$$\bar{n} = \frac{1}{e^{\frac{h\Omega}{kT}} - 1}$$

- **Anti-Stokes** scattering annihilates a phonon

→ Scattering coefficient is proportional to $\bar{n}$

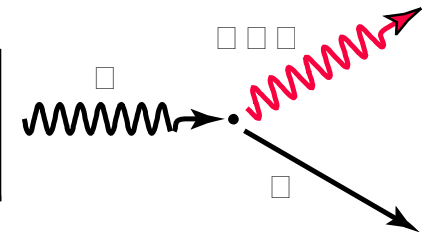
$$C_{AS} \sim \bar{n} = \frac{1}{e^{\frac{h\Omega}{kT}} - 1}$$



- **Stokes** scattering creates a phonon

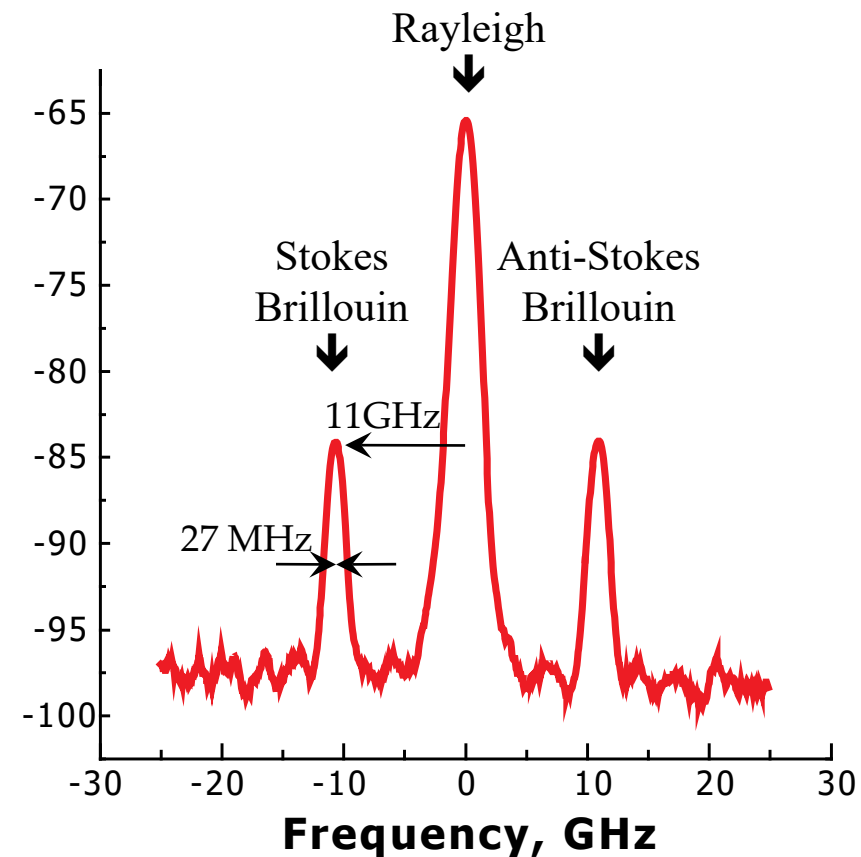
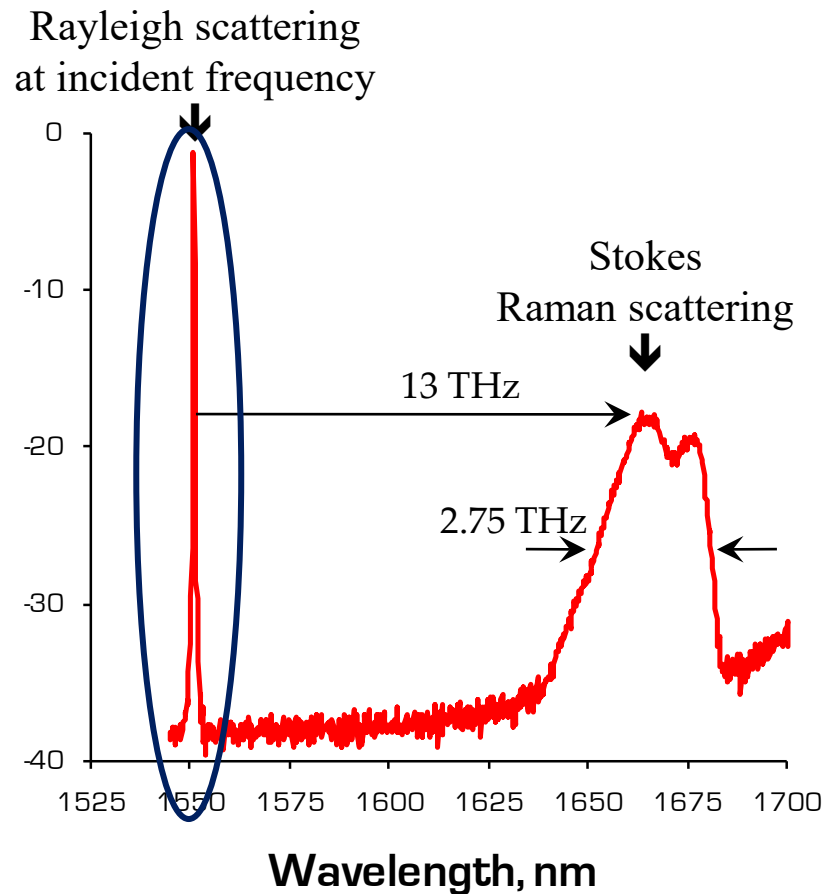
→ Scattering coefficient proportional to $\bar{n}+1$

$$C_S \sim \bar{n} + 1 = \frac{e^{\frac{h\Omega}{kT}}}{e^{\frac{h\Omega}{kT}} - 1}$$



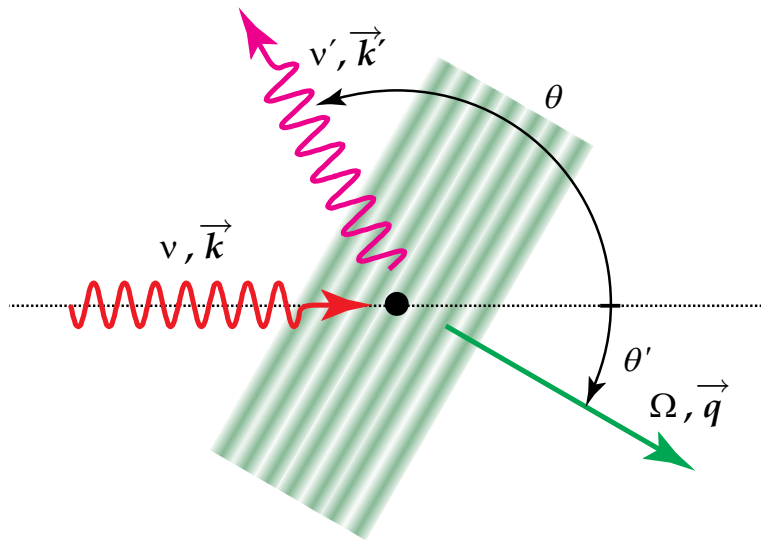
	Stokes shift Ω	Average phonon number	
Raman	13.2 THz	0.14	Anti-Stokes < Stokes
Brillouin	11 GHz	570	Anti-Stokes ~ Stokes

Spectral characteristics of inelastic scatterings



The *linewidth* of Brillouin scattering is ruled by the *acoustic loss* (lifetime ~ 6 ns)

Brillouin: Energy-momentum conservation



Energy conservation:

$$h\nu = h\nu' + h\Omega$$

Momentum conservation:

$$\hbar\vec{k} = \hbar\vec{k}' + \hbar\vec{q}$$

Splitting this vectorial equation into components:

$$\begin{cases} k' \sin \theta = q \sin \theta' \\ k = k' \cos \theta + q \cos \theta' \end{cases}$$

Squaring and combining these equations using the relationships $\nu = kc / 2\pi$, $\nu' = k'c / 2\pi$, $\Omega = qV_a / 2\pi$ and the energy conservation $\nu' = \nu - \Omega$:

$$4V_a^2 \nu (\nu - \Omega) \sin^2 \frac{\theta}{2} = (c^2 - V_a^2) \Omega^2$$

$$\text{Solving for } \Omega \text{ yields: } \Omega = 2 \frac{V_a}{c} \nu \sin \frac{\theta}{2} \sqrt{1 - \left(\frac{V_a}{c}\right)^2 \cos^2 \frac{\theta}{2}} - 2 \left(\frac{V_a}{c}\right)^2 \nu \stackrel{V_a \ll c}{\simeq} 2 \frac{V_a}{c} \nu \sin \frac{\theta}{2} = 2n \frac{V_a}{\lambda_0} \sin \frac{\theta}{2}$$

2 possibilities in single mode fibres: $\theta=0 \rightarrow \Omega=0$ No effect, *no forward scattering!*

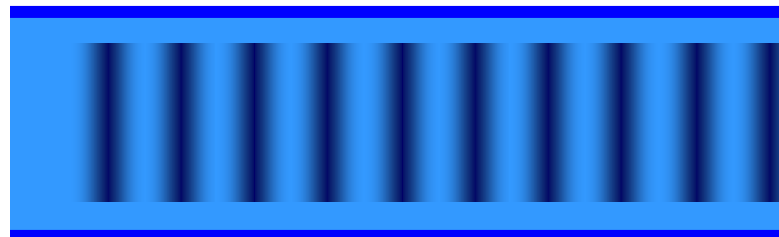
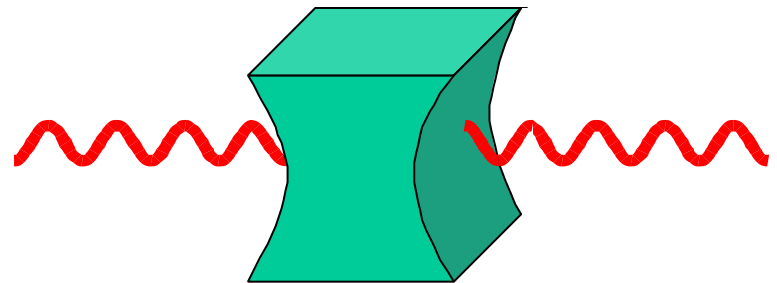
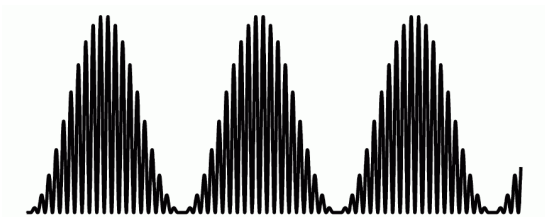
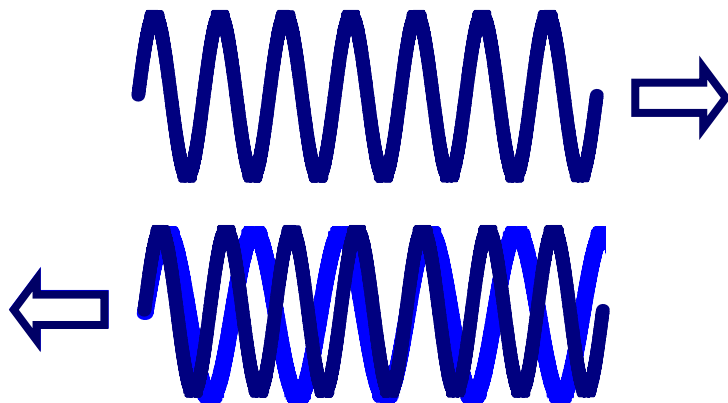
$\theta=\pi \rightarrow \Omega=2nV_a/\lambda_0$ **Backward scattering**, max. frequency shift

Mechanisms behind stimulated scatterings

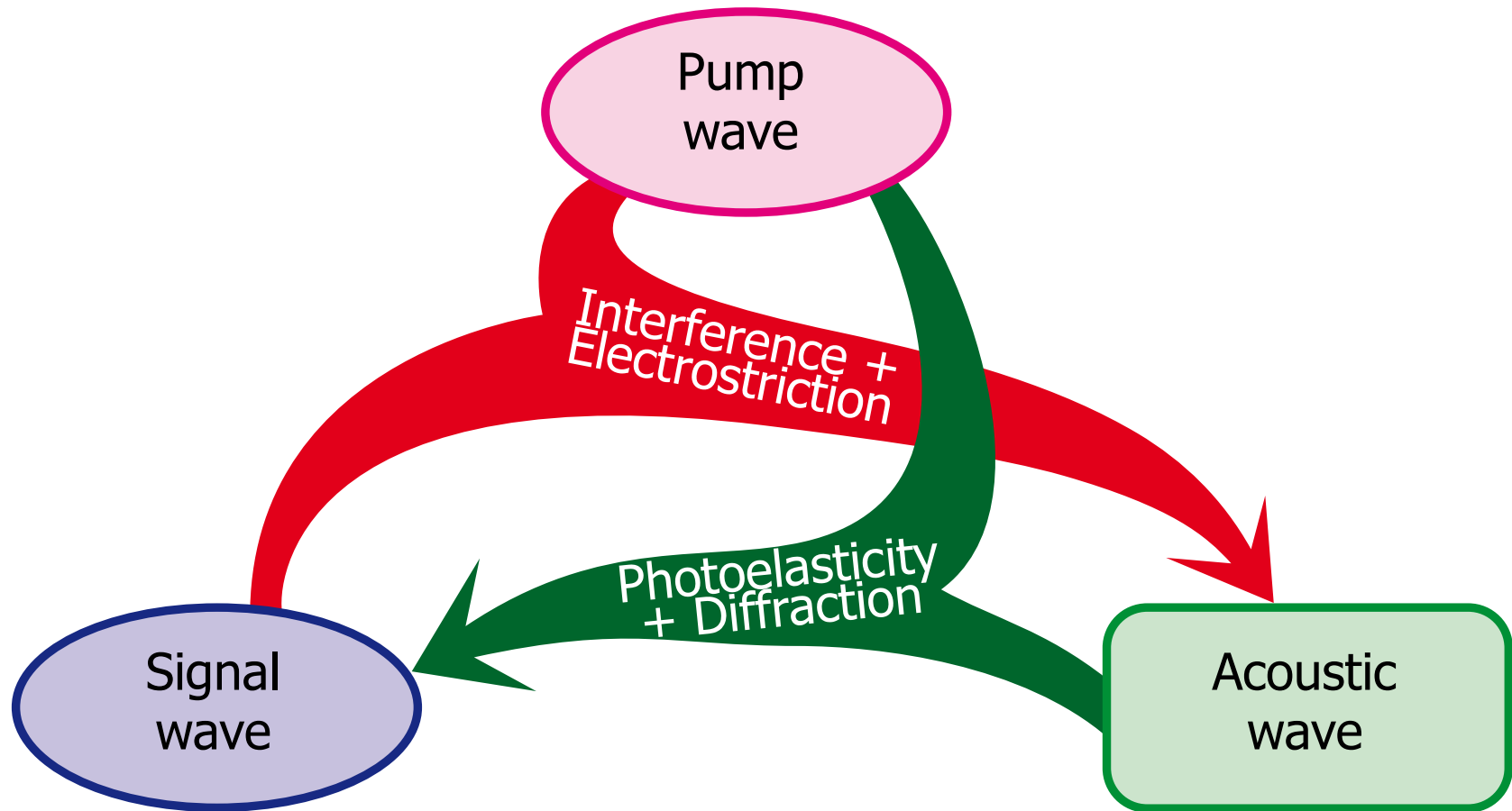
Interferences

+

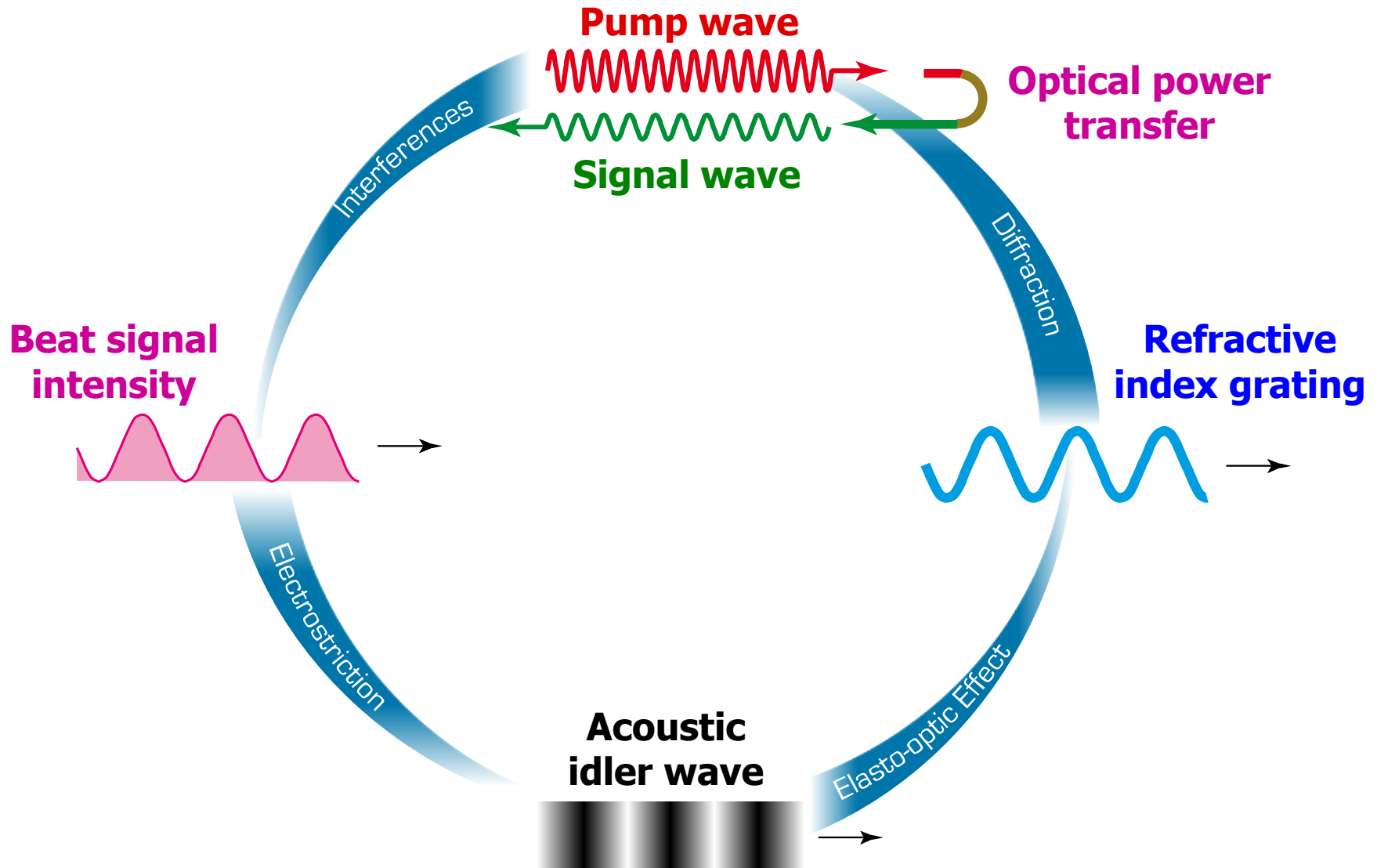
Electrostriction



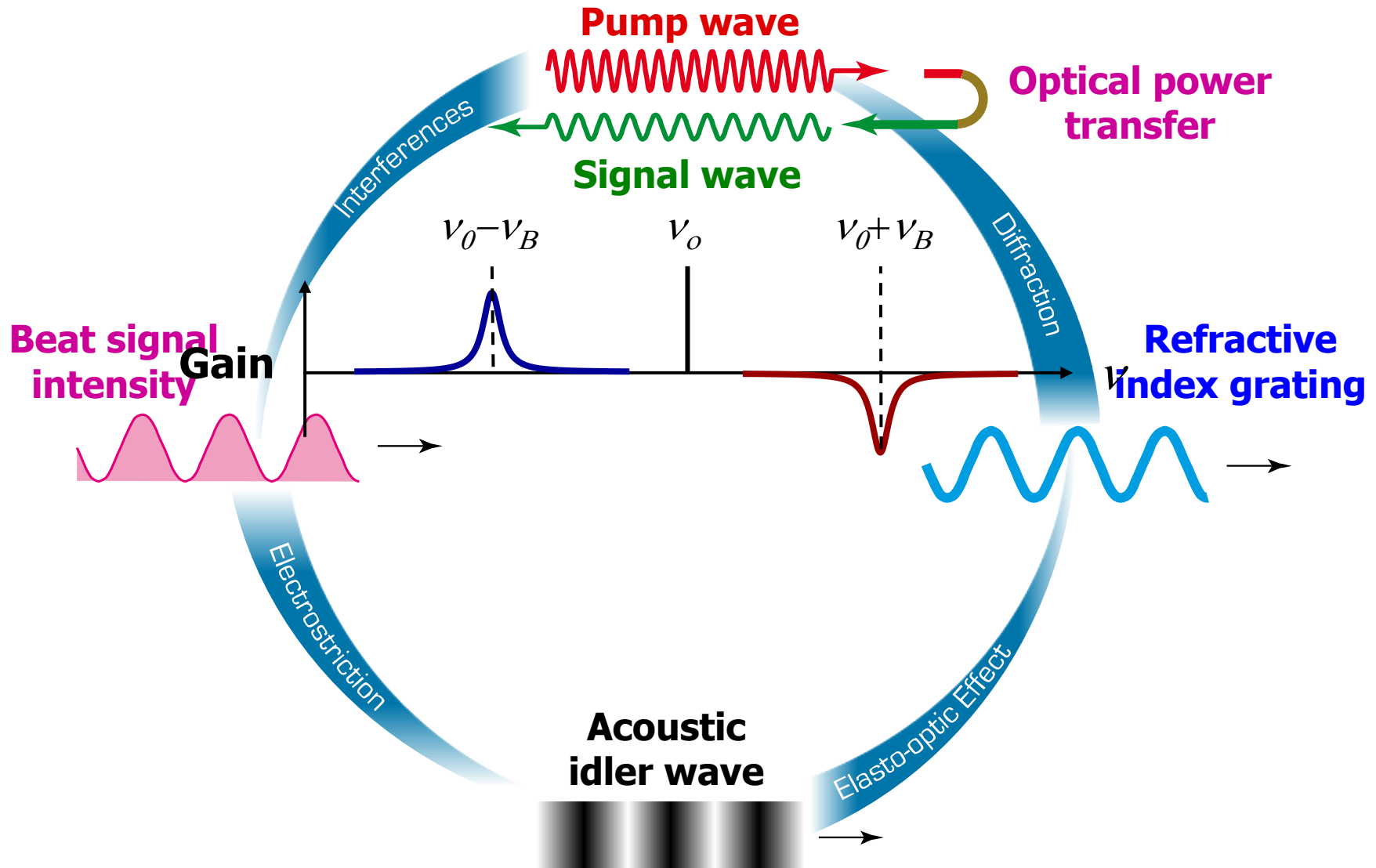
Principle of Brillouin stimulated scattering



Principle of Brillouin stimulated scattering

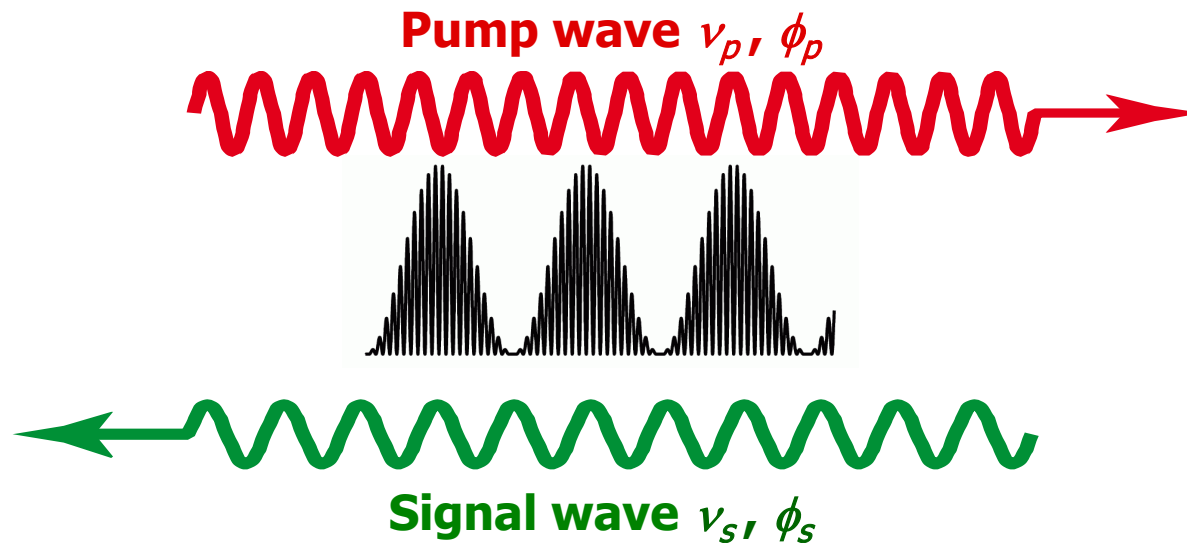


Principle of Brillouin stimulated scattering



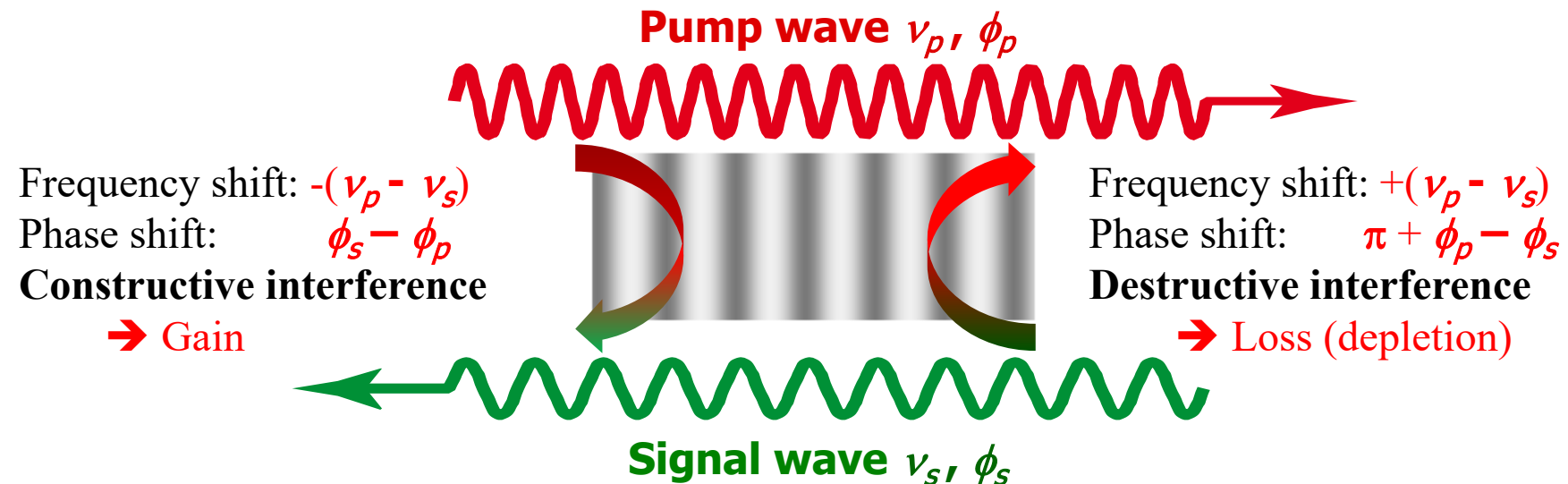
Grating picture of Brillouin scattering

In *steady state* conditions the *grating* formed by the acoustic wave through the *interference* of the pump and signal waves automatically creates a *matched coupling*.



Grating picture of Brillouin scattering

In *steady state* conditions the *grating* formed by the acoustic wave through the *interference* of the pump and signal waves automatically creates a *matched coupling*.



All wave properties are properly *transformed*, except:

- *Polarization* of the incident wave is preserved
- The coupling can be *temporarily unmatched* due to the inertial response of the acoustic wave ($\tau \sim 12$ ns).

Stimulated Raman scattering

For a *forward* propagating pump and a *backward* signal the interaction is governed by the following set of coupled equations:

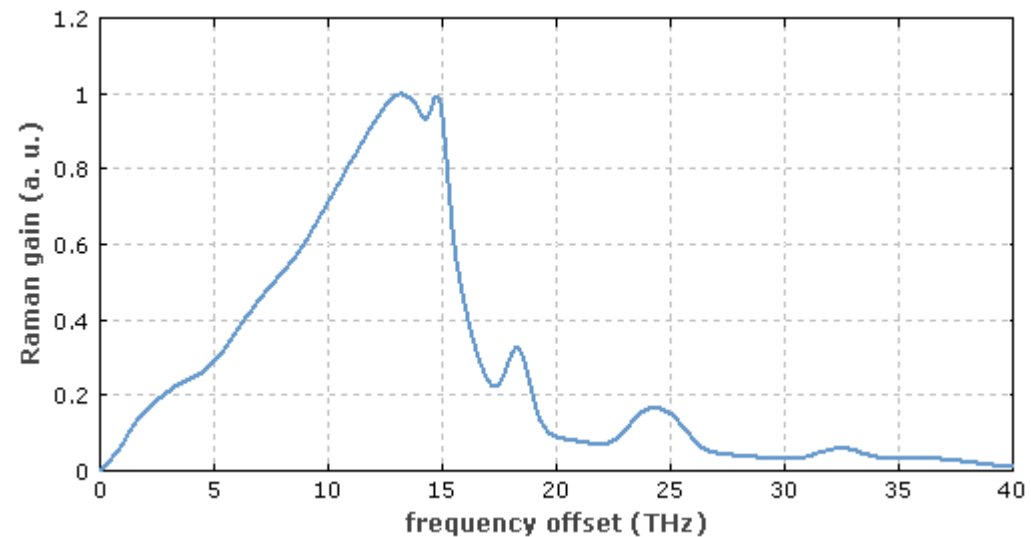
$$\begin{cases} \frac{dI_P}{dz} = -\frac{\nu_P}{\nu_S} g_R I_P I_S - \alpha_P I_P \\ \frac{dI_S}{dz} = -g_R I_P I_S + \alpha_S I_S \end{cases}$$

In absence of *pump depletion*:

$$I_S(L) = I_S(0) e^{g_R I_P(0) L_{\text{eff}} - \alpha_S L}$$

with $L_{\text{eff}} = (1 - e^{-\alpha_P L}) / \alpha_P$

Nonlinear effective length



$$g_R \approx 10^{-13} \frac{\text{m}}{\text{W}}$$

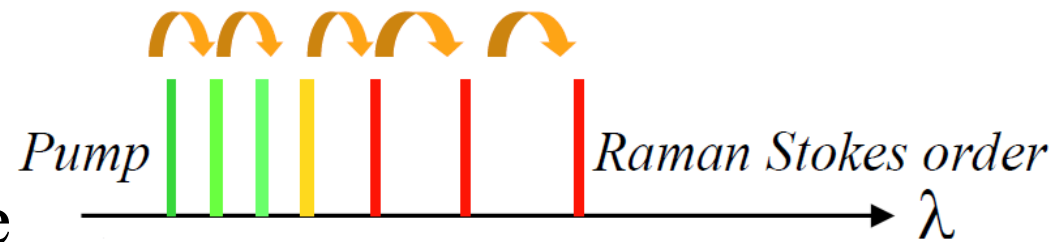
1 W of pump power through 1 km of fibre



Net gain = 1.6

Cascaded Raman generation

- Each generated Stokes wave can act as a pump to generate an additional order



Stimulated Brillouin scattering

For a *forward* propagating pump and a *backward* signal the interaction is governed by the following set of coupled equations for the amplitudes:

$$\begin{cases} \frac{\partial A_p}{\partial z} + \frac{1}{V_g} \frac{\partial A_p}{\partial t} = i \frac{1}{2} g_2 A_s Q - \frac{\alpha}{2} A_p \\ \frac{\partial A_s}{\partial z} - \frac{1}{V_g} \frac{\partial A_s}{\partial t} = -i \frac{1}{2} g_2 A_p Q^* + \frac{\alpha}{2} A_s \\ \frac{\partial Q}{\partial t} + \Gamma_A Q = i g_1 A_p A_s^* \end{cases} \quad \text{with} \quad \Gamma_A = i \frac{2\pi(\nu_B^2 - \Omega^2) - i\Omega\Gamma_B}{2\Omega}$$

Frequency detuning factor
including a loss $\Gamma_B = 1/\tau_A$
 τ_A = Acoustic lifetime

Steady-state situation ($T \gg \tau_A$):

$$Q = i \frac{g_1}{\Gamma_A} A_p A_s^* \quad \rightarrow \quad \begin{cases} \frac{\partial A_p}{\partial z} = -\frac{1}{2} \frac{g_1 g_2}{\Gamma_A} |A_s|^2 A_p - \frac{\alpha}{2} A_p \\ \frac{\partial A_s}{\partial z} = -\frac{1}{2} \frac{g_1 g_2}{\Gamma_A} |A_p|^2 A_s + \frac{\alpha}{2} A_s \end{cases} \quad \rightarrow \quad \begin{cases} \frac{\partial I_p}{\partial z} = -g_1 g_2 \operatorname{Re}\left\{\frac{1}{\Gamma_A}\right\} I_s I_p - \alpha I_p \\ \frac{\partial I_s}{\partial z} = -g_1 g_2 \operatorname{Re}\left\{\frac{1}{\Gamma_A}\right\} I_p I_s + \alpha I_s \end{cases}$$

Stimulated Brillouin scattering

$$\begin{cases} \frac{\partial I_p}{\partial z} = -g_1 g_2 \operatorname{Re}\left\{\frac{1}{\Gamma_A}\right\} I_s I_p - \alpha I_p \\ \frac{\partial I_s}{\partial z} = -g_1 g_2 \operatorname{Re}\left\{\frac{1}{\Gamma_A}\right\} I_p I_s + \alpha I_s \end{cases}$$

→ $g_1 g_2 \operatorname{Re}\left\{\frac{1}{\Gamma_A}\right\} = \frac{4g_1 g_2}{\Gamma_B} \frac{(\Gamma_B / 2\pi)^2}{(\nu_B - \Omega)^2 + (\Gamma_B / 2\pi)^2} = g_B \frac{(\Gamma_B / 2\pi)^2}{(\nu_B - \Omega)^2 + (\Gamma_B / 2\pi)^2} = g(\Omega)$

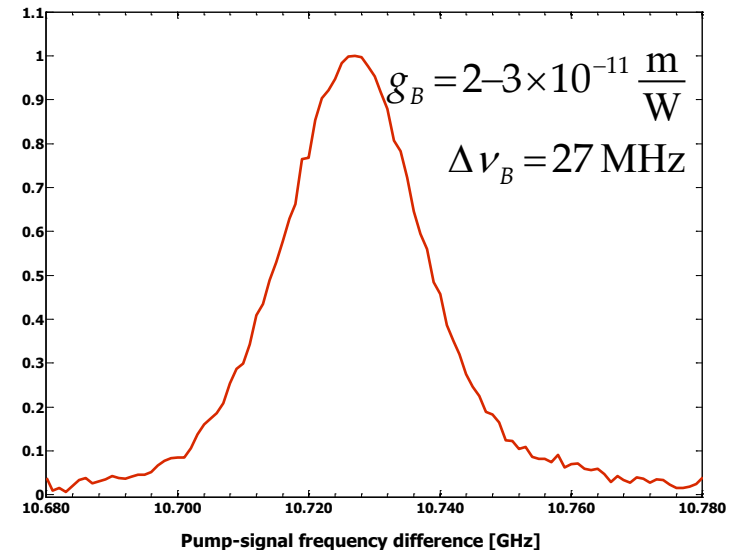
Lorentzian gain spectrum with $g_B = 4g_1 g_2 / \Gamma_B$ and $\Delta\nu_B = \Gamma_B / \pi = 1 / \pi\tau_A$

In absence of *pump depletion*:

$$I_s(L) = I_s(0) e^{g_B I_p(0) L_{\text{eff}} - \alpha L}$$

with $L_{\text{eff}} = (1 - e^{-\alpha L}) / \alpha$

Nonlinear effective length



Brillouin amplifier is a linear system

→ 2 *appended* fibers is equivalent to 1 fiber with the *summed* gain spectra:

$$\underbrace{g_{B1}(\nu), L_1} \quad \underbrace{g_{B2}(\nu), L_2}$$

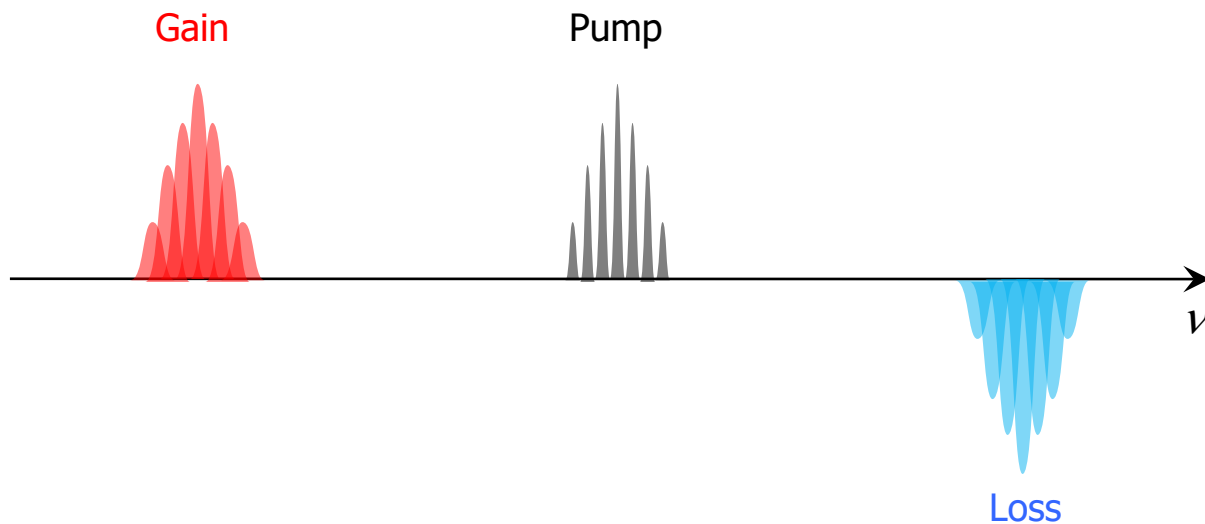
$$I_{out}(\nu) = e^{g_{B2}(\nu) I_{Pump} L_2} e^{g_{B1}(\nu) I_{Pump} L_1} I_{in}(\nu) = e^{[g_{B1}(\nu) \frac{L_1}{L} + g_{B2}(\nu) \frac{L_2}{L}] I_{Pump} L} I_{in}(\nu), \quad L = L_1 + L_2$$

→ 2 *pumps* in 1 fibre is equivalent to 1 pump with the *summed* gain spectra:

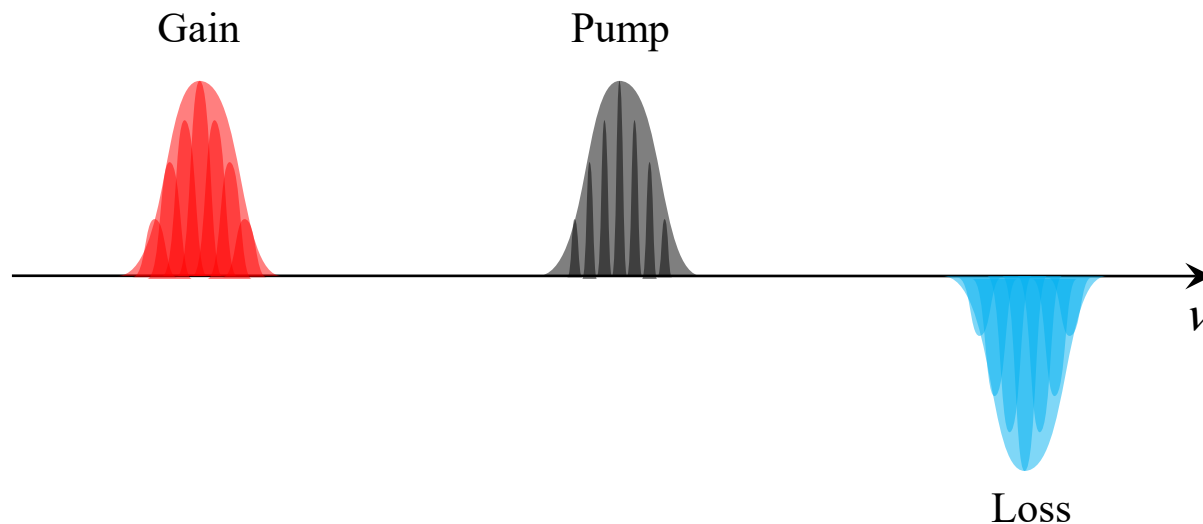
$$I_{out}(\nu) = e^{g_{B1}(\nu) I_{P1} L + g_{B2}(\nu) I_{P2} L} I_{in}(\nu) = e^{[g_{B1}(\nu) \frac{I_{P1}}{I_{Pump}} + g_{B2}(\nu) \frac{I_{P2}}{I_{Pump}}] I_{Pump} L} I_{in}(\nu), \quad I_{Pump} = I_{P1} + I_{P2}$$

This description can be extended to N fibers and N pumps

Broadband Brillouin gain by pump modulation



Broadband Brillouin gain by pump modulation

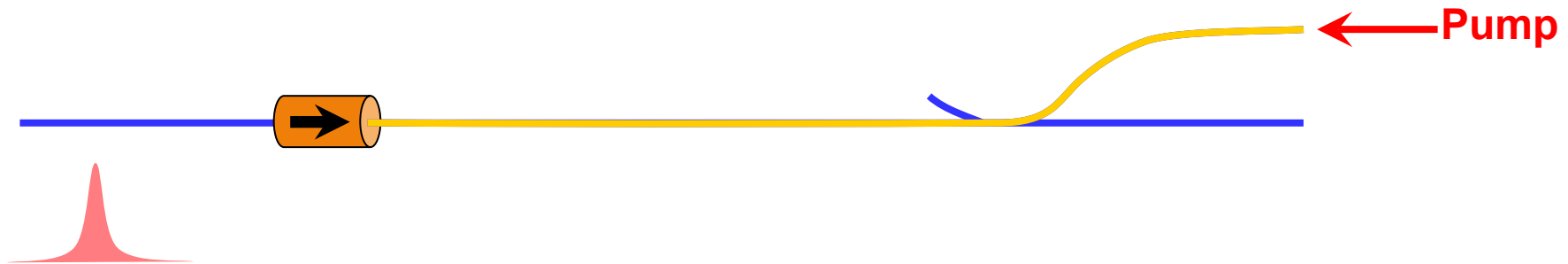


The *effective* gain/loss spectrum can be shaped
by properly *modulating* the pump

$$g_B^{\text{eff}}(\nu) = g_B(\nu) \otimes \frac{I_p(\nu)}{I_{\text{pump}}}$$

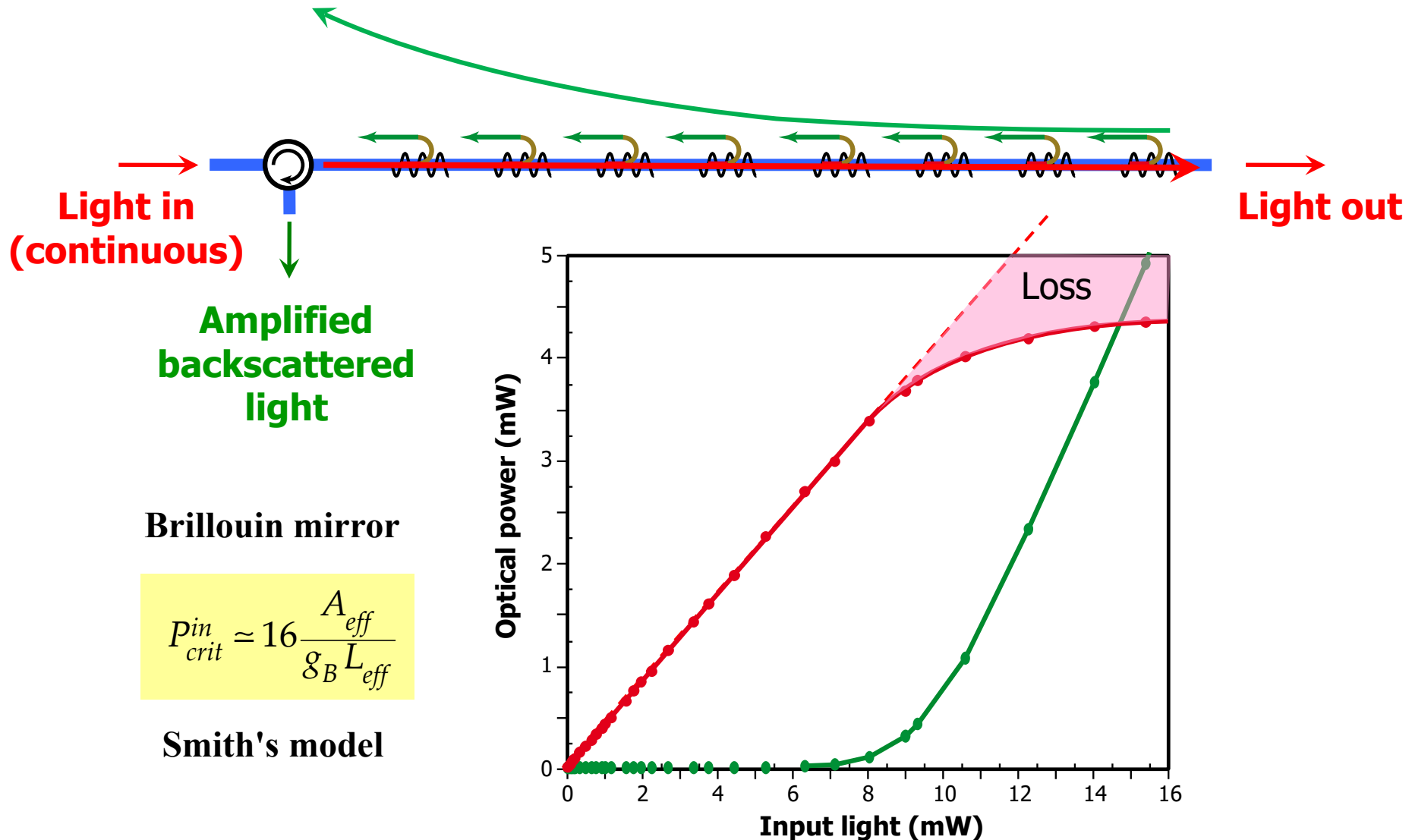
Convolution between the natural
Brillouin gain and the pump spectra

Amplifiers based on stimulated scatterings



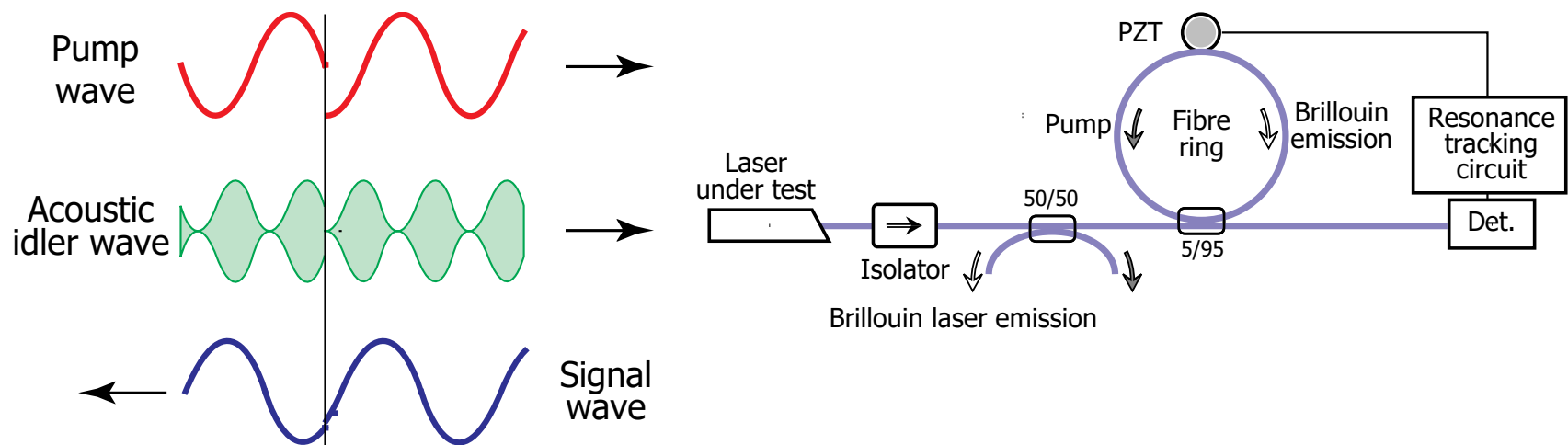
- Stimulated scatterings may realise the closest approximation of *fully distributed amplification*, considered as an ideal case.
- Raman-based amplifiers are very attractive: large *bandwidth*, *wavelength flexibility* and *low noise*. But: low gain → *high pump power*
- Brillouin-based amplifiers also show a good *wavelength flexibility* and give a much *higher gain*, but very *limited bandwidth* and *poor noise* figure.
- Noise is determined by the amount of *spontaneous scattering*, which is scaled by the *average number of thermally-created phonons* (0.14 per mode for Raman scattering, 570 per mode for Brillouin scattering).

Brillouin limits the power handling capacity

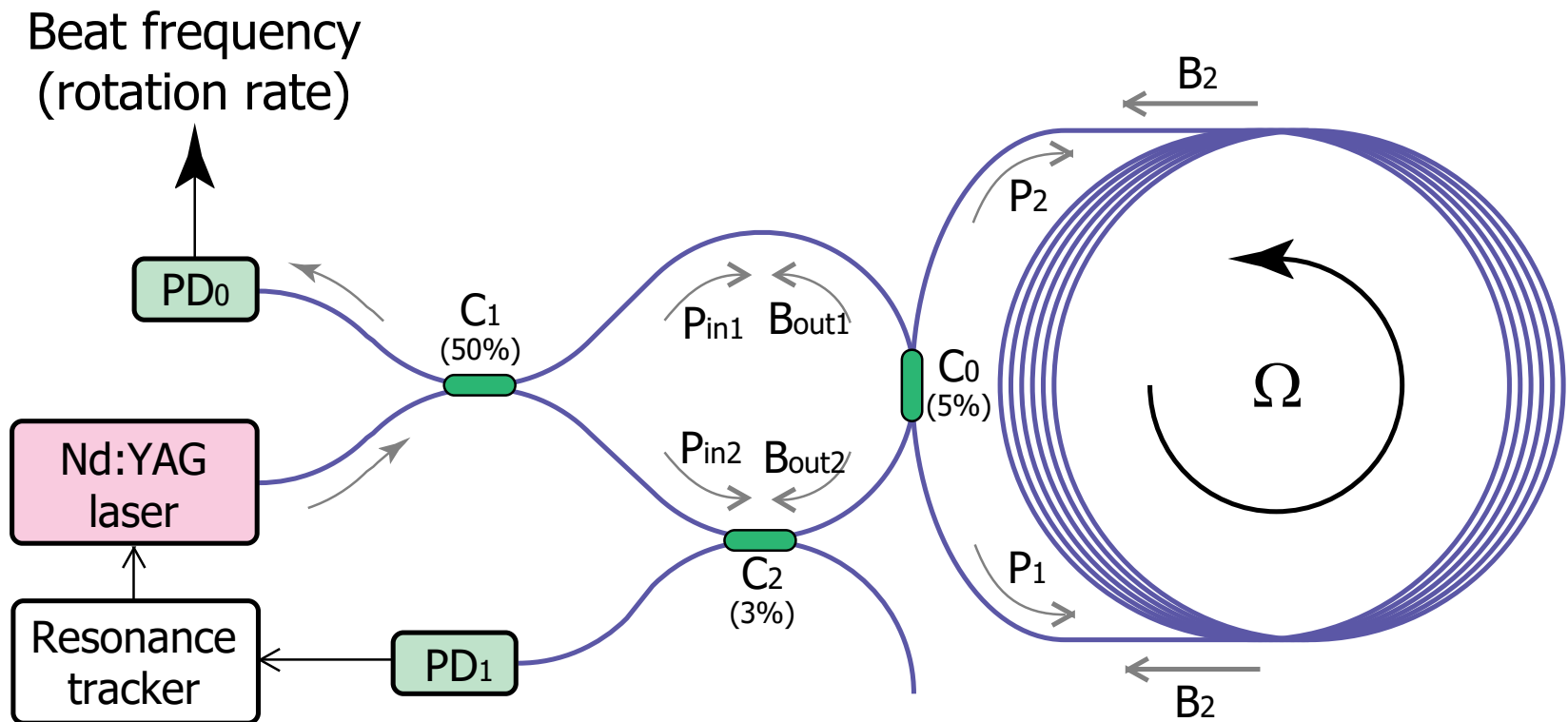


Raman and Brillouin lasers

- Lasers based on stimulated scatterings are easily realized by looping back an amplifier upon itself.
- Raman*-based fibre lasers can generate light at wavelength well separated from the pump (new wavelength) and even using cascaded emission.
- Brillouin*-based fibre lasers can show a sub-mW threshold and very high coherence (sub-kHz).



Brillouin Fibre Laser Gyroscope



Brillouin Fibre Laser Gyroscope

